

08/16/00
JC813 U.S. PTO

By Express Mail #EL636862715US

Attorney Docket No.: 4904-4

Check box if applicable: ☒ DUPLICATE

UTILITY PATENT APPLICATION TRANSMITTAL

Submit an original and a duplicate for fee processing

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

JC882 U.S. PTO
09/640218
08/16/00

Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, DC 20231

Dated: August 16, 2000

Sir:

Transmitted herewith for filing is the utility patent application of:

Inventor(s): Miroslav Colic

For: Therapeutic and Prophylactic Compositions Including Catalytic Biomimetic Solids
and Methods to Prepare and Use Them

Enclosed are:

1. Transmittal letter (2x) with Fee Computation Sheet
2. General Authorization For Payment of Fees (2x)
3. Title Page, Specification, Claims 1 to 14 & Abstract (47 pages [total number of pages of application])
4. Executed Declaration and Power of Attorney (2 p.)
5. Check for \$ 384 for filing fee
6. Assignment of the invention to **Henceforth Hibernia, Inc.**
7. Recordation Cover Sheet (PTO-1595)
8. Check for \$40.00 for Assignment Recording Fee
9. Verified Statement to Establish Small Entity Status
10. Return Receipt Postcard

☐ Please charge my Deposit Account No. 03-2412 in the amount of \$. A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this application or credit any overpayment to Deposit Acct. No. 03-2412.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17

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- ☒ The issue fee set in 37 CFR 1.18 at 3 months from mailing of the Notice of Allowance, pursuant to 37 CFR 1.311 (b) provided the fee has not already been paid by check.
- ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

☒ Priority is claimed for this invention and application, corresponding applications having been filed in **United States** on **August 16, 1999**, No. **60/149,131**.

Respectfully submitted,
COHEN, PONTANI, LIEBERMAN & PAVANE

By: Kent H. Cheng
Kent H. Cheng
Reg. No. 33,849

551 Fifth Avenue, Suite 1210
New York, New York 10176
Tel: (212) 687-2770
Fax: (212) 972-5487

Attorney Docket # 4904-4

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Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Miroslav Colic et al.

Serial No.: n/a

Filed: concurrently

For: Therapeutic and Prophylactic
Compositions Including Catalytic
Biomimetic Solids and Methods to
Prepare and Use Them

Check box if applicable:

☒ DUPLICATE



**GENERAL AUTHORIZATION FOR PAYMENT OF FEES
AND PETITIONS FOR EXTENSIONS OF TIME**

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Assistant Commissioner for Patents

BOX PATENT APPLICATION

Washington, DC 20231

Sir:

The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 03-2412

- ☒ Any filing fees required under 37 CFR §1.16.
- ☒ Any patent application processing fees under 37 CFR §1.17 not otherwise paid by check.
- ☒ The issue fee set in 37 CFR 1.18 at 3 months from mailing of the Notice of Allowance, pursuant to 37 CFR 1.311 (b) provided the fee has not already been paid by check.
- ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,
COHEN, PONTANI, LIEBERMAN & PAVANE

By

Kent H. Cheng, Reg. No. 33,849
551 Fifth Avenue, Suite 1210
New York, New York 10176
(212) 687-2770

Dated: August 16, 2000

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Attorney Docket No.: 4904-4



FILING FEE COMPUTATION SHEET

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Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, DC 20231

Dated: August 16, 2000

In re Application of: Miroslav Colic

**For: Therapeutic and Prophylactic Compositions Including Catalytic Biomimetic Solids
and Methods to Prepare and Use Them**

The filing fee has been calculated as shown below:

FOR:	Col. 1	Col. 2	SMALL ENTITY		OTHER THAN SMALL ENTITY	
	# FILED	# EXTRA				
BASIC FEE			\$345			\$690
TOTAL CLAIMS	14 - 20 =	0	x 9 =	\$	x 18 =	\$
INDEPENDENT CLAIMS	4 - 3 =	1	x 39 =	\$ 39	x 78 =	\$
<input type="checkbox"/> MULTIPLE DEPENDENCY			+\$130=	\$	+260=	\$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2			TOTAL:	\$384		\$

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(b)) - SMALL BUSINESS CONCERN**

Applicant or Patentee: Miroslav Colic
Attorney's Docket No.: 4904-4
Serial or Patent No.: Not Yet Assigned
Filed or Issued: Concurrently Herewith
Title: THERAPEUTIC AND PROPHYLACTIC COMPOSITIONS INCLUDING CATALYTIC
BIOMIMETIC SOLIDS AND METHODS TO PREPARE AND USE THEM

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN: **Henceforth Hibernia, Inc.**
ADDRESS OF CONCERN: **c/o INDEVA, 1350 Avenue of the Americas, 29th Floor, New York, NY, 10019**

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title listed above.
☐ the application identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern or organization listed below

FULL NAME: _____
ADDRESS: _____
[] INDIVIDUAL [] SMALL BUSINESS CONCERN [] NONPROFIT ORGANIZATION

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Aaron Etra
TITLE OF PERSON OTHER THAN OWNER: President, Henceforth Hibernia, Inc.
ADDRESS OF PERSON SIGNING: 1350 Avenue of the Americas, New York, NY 10019
SIGNATURE: [Signature] DATE: 8/14/2000